



## SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

**Receiving:** ARVIN BANAWA

**Receipt Date and Time:** June 28, 2022 10:07:57 AM

### Company Information

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**SEC Registration No.:** F000000063

**Company Name:** INSURANCE CO. OF NORTH AMERICA

**Industry Classification:** J67030

**Company Type:** Foreign Stock

### Document Information

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**Document ID:** OST1062820228547240

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** May 20, 2022

**Submission Type:** Annual Meeting

**Remarks:** None

**GENERAL INFORMATION SHEET (GIS)**

**FOREIGN CORPORATION  
FOR THE YEAR 2022**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ANNIVERSARY DATE OF THE ISSUANCE OF THE SEC LICENSE. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE RESIDENT AGENT OF THE CORPORATION.
3. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SECRETARIAT BUILDING, PICC, PASAY CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A SOFT COPY OF THEIR GIS SHALL SUBMIT FOUR (4) HARD COPIES OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS RESIDENT AGENT THAT THE COPY CONTAINS EXACT DATA IN THE HARD COPIES.
4. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
5. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE MULTINATIONAL COMPANY AND ITS RESPONSIBLE OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

<input checked="" type="checkbox"/> Branch Office	<input type="checkbox"/> Representative Office
<input type="checkbox"/> STOCK <input type="checkbox"/> DOMESTIC MARKET ENTERPRISE <input type="checkbox"/> EXPORT MARKET ENTERPRISE <input checked="" type="checkbox"/> NON-STOCK	<input type="checkbox"/> STOCK <input type="checkbox"/> NON-STOCK

\*\*\*\*\* PLEASE PRINT LEGIBLY \*\*\*\*\*

<b>NAME OF FOREIGN CORPORATION:</b>		INSURANCE COMPANY OF NORTH AMERICA		<b>DATE OF ISSUANCE OF SEC LICENSE:</b>	
				20-May-47	
<b>BUSINESS/TRADE NAME:</b>		INSURANCE COMPANY OF NORTH AMERICA		<b>FISCAL YEAR END:</b>	
				31-Dec-2021	
<b>SEC LICENSE NUMBER:</b>		F-00063		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-589-211-000	
<b>COUNTRY WHERE ORGANIZED:</b>		Pennsylvania, USA		<b>WEBSITE/URL ADDRESS:</b>	
				www.chubb.com/ph	
<b>ASSIGNED CAPITAL:</b>		PHP 509,241,378		<b>EMAIL ADDRESS:</b>	
				chubb.philippines@chubb.com	
<b>OFFICIAL E-MAIL ADDRESS</b>		<b>ALTERNATE E-MAIL ADDRESS</b>		<b>OFFICIAL MOBILE NUMBER</b>	
chubb.philippines@chubb.com		chubb.philippines2@chubb.com		0918-9047214	
<b>COMPLETE OFFICE ADDRESS IN THE PHILIPPINES:</b>		24th Floor Zuellig Building, Makati Avenue corner Paseo de Roxas, Makati City 1226		<b>TELEPHONE/FAX NUMBER(S):</b>	
				8849-6080	
<b>COMPLETE NAME OF THE RESIDENT AGENT:</b>		<i>Mari Rachele L. Canta</i> Mari Rachele L. Canta		<b>TELEPHONE/FAX NUMBER(S):</b>	
				8849-6010	
<b>BUSINESS AS STATED IN THE SEC LICENSE:</b>		Insurance (Non-Life)			
<b>TOTAL SECURITIES DEPOSITED:</b>				PHP 159,599,546	
<b>KIND OF SECURITIES</b>		<b>AMOUNT</b>		<b>NET PROFIT (LOSES) BEFORE TAX DURING THE PRECEDING TAXABLE YEAR</b>	
MUTUAL FUND					
STOCKS		PHP 423,360			
BONDS		PHP 2,094,244,902			
<b>ACCUMULATED INCOME/FUND BALANCE BASED ON THE FINANCIAL STATEMENT OF THE PRECEDING FISCAL YEAR</b>		PHP 1,564,543,905		<b>TO BE FILLED UP BY SEC PERSONNEL</b>	
				<b>INDUSTRY CLASSIFICATION CODE</b>	
				<b>NATIONAL GEOGRAPHICAL CODE (NGC)</b>	

**GENERAL INFORMATION SHEET**

FOREIGN CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: \_\_\_\_\_

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**       Yes       No

Please check the appropriate box:

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
	<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>
	<p><b>8.</b> <input type="checkbox"/> None of the above</p> <p>Describe nature of business: _____</p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

Yes       No

GENERAL INFORMATION SHEET			
FOREIGN CORPORATION			
===== PLEASE PRINT LEGIBLY =====			
NAME OF FOREIGN CORPORATION:		Insurance Company of North America	
OFFICERS IN THE PHILIPPINES:			
NAME	POSITION/TITLE	SEX	NATIONALITY
1. Mari Rachelle L. Canta	Country President	F	Filipino
2. Atty. Ma. Patricia E. Foria	VP and Head of Legal and Compliance/ DPO	F	Filipino
3. Maurice V. Hilario	VP and Head of Consumer Lines	M	Filipino
4. Vivienne L. Villena	VP and Head of Broking	F	Filipino

I, Mari Rachelle L. Canta, Country President/Resident Agent of Insurance Company of North America.

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF TWO ( 2 ) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND , ARE TRUE AND CORRECT BASED ON MY PERSONAL KNOWLEDGE AND ON AUTHENTIC RECORDS AT HAND.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS' SEC LICENSE TO DO BUSINESS IN THE PHILIPPINES. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.  
DONE THIS 15th DAY OF JUNE 2022 AT MAKATI CITY.

*Mari Rachelle L. Canta*

SIGNATURE

JUN 17 2022

SUBSCRIBE AND SWORN TO BEFORE ME IN MAKATI CITY, PHILIPPINES ON

AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBIT TO ME HIS/HER COMPETENT EVIDENCE OF IDENTITY PASSPORT NO. P8078177A ISSUED AT DFA MANILA ON 25 JULY 2018.

NOTARY PUBLIC FOR \_\_\_\_\_  
 Notarial Commission \_\_\_\_\_  
 Commission Expires on December 31, \_\_\_\_\_  
 Roll of Attorney Number \_\_\_\_\_  
 PTR No. \_\_\_\_\_  
 IBP No. \_\_\_\_\_  
 Office Address \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

DOC NO.: 573  
 PAGE NO.: 2  
 BOOK NO.: 4  
 SERIES OF 2022.

**ATTY. JOSHUA P. LAPUZ**  
 Notary Public for Makati City  
 Appointment No. M-19 until 12/31/2023  
 Roll No. 45790 / IBP Life No. 04897 / 07 - 03 - 03  
 PTR - O.R. No. 8852510 / 01 - 03 - 22 / Makati City  
 MCLE No VI-0016565 / 01 - 14 - 19  
 G/F Fedman Suites, 199 Salcedo Street,  
 Legaspi Village, Makati City

**BENEFICIAL OWNERSHIP DECLARATION  
FOR THE YEAR: 2022**

SEC REGISTRATION NUMBER:

CORPORATE NAME:

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items "A" to "I" below. List down as many as you can identify. You may use an additional sheet if necessary. In exceptional cases where no natural person is identifiable who ultimately owns or controls or exercises ultimate effective control over the corporation through controlling ownership interest or through other means, all reasonable means of identification having been exhausted and there is no ground for suspicion, the natural person(s) falling under Category "I" must be disclosed.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

**Category**

**Description**

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.  
Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- B**
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO./PASSPORT NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Mari Rachelle L. Canta	13 Uranlum Road, Pilar Village, Almanza, Las Pinas	Filipino	4/28/1968	102-090-002/P8078177A	None	Indirect	I - Country President

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.